

On the fate of singularities in quantum gravity

Claus Kiefer

Institut für Theoretische Physik
Universität zu Köln



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Definition of a singularity

A spacetime is singular if it is incomplete with respect to a timelike or null geodesic and if it cannot be embedded in a bigger spacetime.

- ▶ Energy condition
- ▶ Condition on the global structure
- ▶ Gravitation strong enough to lead to the existence of a closed trapped surface

Theorem (Hawking and Penrose 1970)

A spacetime M cannot satisfy causal geodesic completeness if, *together with Einstein's equations*, the following four conditions hold:

1. M contains no closed timelike curves.
2. The strong energy condition is satisfied at every point.
3. The generality condition (...) is satisfied for every causal geodesic.
4. M contains either a trapped surface, or a point p for which the convergence of all the null geodesics through p changes sign somewhere to the past of p , or a compact spacelike hypersurface

Strong energy condition:

$$\rho + \sum_i p_i \geq 0, \quad \rho + p_i \geq 0, \quad i = 1, 2, 3$$

Cosmological singularities for homogeneous and isotropic spacetimes

Classified by behaviour of scale factor a , energy density ρ , pressure p :

Big Bang/Crunch $a = 0$ at finite proper time, ρ diverges

Type I (Big Rip) a diverges in finite proper time, ρ and p diverge

Type II or “sudden” (Big Brake/Big Démarrage) a finite, ρ finite, p diverges, Hubble parameter finite

Type III (Big Freeze) a finite, both ρ and p diverge

Type IV a finite, both ρ and p finite, but curvature derivatives diverge

Most of these singularities occur **in spite of** the violation of energy conditions

Relation to observations

The observation of the Cosmic Microwave Background Radiation (CMB) indicates that there is enough matter on the past light-cone of our present location P to imply that the divergence of this cone changes somewhere to the past of P .

but: inflationary phase in the early Universe may violate the strong-energy condition; does this lead to singularity avoidance?

What about inflation?

- ▶ Borde *et al.* (2003): Singularities are not avoided by an inflationary phase if the universe has open spatial sections or the Hubble expansion rate is bounded away from zero in the past;
- ▶ Ellis *et al.* (2004): There exist singularity-free inflationary models for closed spatial sections.

moreover: observation of “dark energy” suggests the possibility of a future singularity at a finite a in the future (e.g. Big Rip/ Big Brake)

Quantum gravitational avoidance of classical singularities?

Main Approaches to Quantum Gravity

No question about quantum gravity is more difficult than the question, “What is the question?”
(John Wheeler 1984)

- ▶ Quantum general relativity
 - ▶ Covariant approaches (perturbation theory, path integrals, ...)
 - ▶ Canonical approaches (geometrodynamics, connection dynamics, loop dynamics, ...)
- ▶ String theory
- ▶ Other approaches
(Causal sets, group field theory, ...)

Topic here: **Canonical quantum geometrodynamics**

(For more details on all approaches, see e.g. in C. K., *Quantum Gravity*, 3rd ed., Oxford 2012)

Erwin Schrödinger 1926:

We know today, in fact, that our classical mechanics fails for very small dimensions of the path and for very great curvatures. Perhaps this failure is in strict analogy with the failure of geometrical optics . . . that becomes evident as soon as the obstacles or apertures are no longer large compared with the real, finite, wavelength. . . . Then it becomes a question of searching for an undulatory mechanics, and the most obvious way is by an elaboration of the Hamiltonian analogy on the lines of undulatory optics.¹

¹ *wir wissen doch heute, daß unsere klassische Mechanik bei sehr kleinen Bahndimensionen und sehr starken Bahnkrümmungen versagt. Vielleicht ist dieses Versagen eine volle Analogie zum Versagen der geometrischen Optik . . . , das bekanntlich eintritt, sobald die 'Hindernisse' oder 'Öffnungen' nicht mehr groß sind gegen die wirkliche, endliche Wellenlänge. . . . Dann gilt es, eine 'undulatorische Mechanik' zu suchen – und der nächstliegende Weg dazu ist wohl die wellentheoretische Ausgestaltung des Hamiltonschen Bildes.*

Hamilton–Jacobi equation

Hamilton–Jacobi equation \rightarrow guess a wave equation

In the vacuum case, one has

$$16\pi G G_{abcd} \frac{\delta S}{\delta h_{ab}} \frac{\delta S}{\delta h_{cd}} - \frac{\sqrt{\hbar}}{16\pi G} ({}^{(3)}R - 2\Lambda) = 0,$$
$$D_a \frac{\delta S}{\delta h_{ab}} = 0$$

(Peres 1962)

Find wave equation which yields the Hamilton–Jacobi equation in the semiclassical limit

WKB approximation:

$$\Psi[h_{ab}] = C[h_{ab}] \exp\left(\frac{i}{\hbar} S[h_{ab}]\right)$$

Quantum equations

In the vacuum case, one has

$$\hat{H}\Psi \equiv \left(-2\kappa\hbar^2 G_{abcd} \frac{\delta^2}{\delta h_{ab} \delta h_{cd}} - (2\kappa)^{-1} \sqrt{\hbar} ({}^{(3)}R - 2\Lambda) \right) \Psi = 0,$$

$$\kappa = 8\pi G/c^4$$

Wheeler–DeWitt equation

$$\hat{D}^a \Psi \equiv -2\nabla_b \frac{\hbar}{i} \frac{\delta \Psi}{\delta h_{ab}} = 0$$

quantum diffeomorphism (momentum) constraint

Whether these equations hold at the most fundamental level or not, they should approximately be valid away from the Planck scale (if quantum theory is universally valid)

Problem of time

- ▶ no external time present; spacetime has *disappeared!*
- ▶ local **intrinsic time** can be defined through local hyperbolic structure of Wheeler–DeWitt equation (‘wave equation’)
- ▶ related problem: *Hilbert-space problem* – which inner product, if any, to choose between wave functionals?
 - ▶ Schrödinger inner product?
 - ▶ Klein–Gordon inner product?
- ▶ Problem of *observables*

Semiclassical approximation

Ansatz:

$$|\Psi[h_{ab}]\rangle = C[h_{ab}]e^{im_{\text{P}}^2 S[h_{ab}]}|\psi[h_{ab}]\rangle$$

(bra and ket notation refers to non-gravitational fields)

One evaluates $|\psi[h_{ab}]\rangle$ along a solution of the classical Einstein equations, $h_{ab}(\mathbf{x}, t)$, corresponding to a solution, $S[h_{ab}]$, of the Hamilton–Jacobi equations; this solution is obtained from

$$\dot{h}_{ab} = N G_{abcd} \frac{\delta S}{\delta h_{cd}} + 2D_{(a} N_{b)}$$

$$\frac{\partial}{\partial t} |\psi(t)\rangle = \int d^3x \dot{h}_{ab}(\mathbf{x}, t) \frac{\delta}{\delta h_{ab}(\mathbf{x})} |\psi[h_{ab}]\rangle$$

At order m_{p}^0 , one finds a functional Schrödinger equation for quantized matter fields in the chosen external classical gravitational field:

$$i\hbar \frac{\partial}{\partial t} |\psi(t)\rangle = \hat{H}^{\text{m}} |\psi(t)\rangle$$

$$\hat{H}^{\text{m}} \equiv \int d^3x \left\{ N(\mathbf{x}) \hat{\mathcal{H}}_{\perp}^{\text{m}}(\mathbf{x}) + N^a(\mathbf{x}) \hat{\mathcal{H}}_a^{\text{m}}(\mathbf{x}) \right\}$$

\hat{H}^{m} : matter-field Hamiltonian in the Schrödinger picture, parametrically depending on (generally non-static) metric coefficients of the curved space–time background;

WKB time t controls the dynamics in this approximation

Criteria for quantum avoidance of singularities

No general agreement!

Sufficient criteria in quantum geometrodynamics:

- ▶ Vanishing of the wave function at the point of the classical singularity (dating back to DeWitt 1967)
- ▶ Spreading of wave packets when approaching the region of the classical singularity

concerning the second criterium:

only in the semiclassical regime (narrow wave packets following the classical trajectories) do we have an approximate notion of geodesics; only in this regime can we thus apply the classical singularity theorems.

$\Psi \rightarrow 0$ is a sufficient, but **not a necessary** criterium for singularity avoidance!

Example in quantum mechanics: solution of the Dirac equation for the ground state of hydrogen-like atoms:

$$\psi_0(r) \propto (2mZ\alpha r)^{\sqrt{1-Z^2\alpha^2}-1} e^{-mZ\alpha r} \xrightarrow{r \rightarrow 0} \infty ,$$

but $\int dr r^2 |\psi_0|^2 < \infty!$

Example in quantum cosmology: Wheeler–DeWitt equation for a Friedmann universe with a massless scalar field: simplest solution is $\propto K_0(a^2/2) \xrightarrow{a \rightarrow 0} c \ln a$, but $\int da d\phi \sqrt{|G|} |\psi(a, \phi)|^2$ may be finite.

Quantum Cosmology

Closed Friedmann–Lemaître universe with scale factor a , containing a homogeneous scalar field ϕ with potential $V(\phi)$ (two-dimensional *minisuperspace*)

$$ds^2 = -N^2(t)dt^2 + a^2(t)d\Omega_3^2$$

The **Wheeler–DeWitt equation** reads with a suitable choice of factor ordering (with units $2G/3\pi = 1$)

$$\frac{1}{2} \left(\frac{\hbar^2}{a^2} \frac{\partial}{\partial a} \left(a \frac{\partial}{\partial a} \right) - \frac{\hbar^2}{a^3} \frac{\partial^2}{\partial \phi^2} - a + \frac{\Lambda a^3}{3} + 2a^3 V(\phi) \right) \psi(a, \phi) = 0$$

In the following: brief review of models (for more details, see Manuel Krämer's talk)

Quantum phantom cosmology

Classical model: Friedmann universe with scale factor $a(t)$ containing a scalar field with negative kinetic term ('phantom'): develops a **big-rip singularity**
(ρ and p diverge as a goes to infinity at a *finite time*)

Quantum model: Wave-packet solutions of the Wheeler–DeWitt equation disperse in the region of the classical big-rip singularity: time and the classical evolution come to an end; only a stationary quantum state is left

Exhibition of quantum effects at large scales!

(Dąbrowski, C. K., Sandhöfer 2006)

Big-brake cosmology: Classical model

Equation of state $p = A/\rho$, $A > 0$, for a Friedmann universe with scale factor $a(t)$ and scalar field $\phi(t)$ with potential ($24\pi G = 1$)

$$V(\phi) = V_0 \left(\sinh(|\phi|) - \frac{1}{\sinh(|\phi|)} \right) ; V_0 = \sqrt{A/4}$$

develops pressure singularity (only $\ddot{a}(t)$ becomes singular)

- ▶ total lifetime: $t_0 \approx 7 \times 10^2 \frac{1}{\sqrt{V_0 \left[\frac{\text{g}}{\text{cm}^3} \right]}}$ s
- ▶ lifetime much bigger than current age of our Universe for

$$V_0 \ll 2.6 \times 10^{-30} \frac{\text{g}}{\text{cm}^3}$$

(is not a model for dark energy)

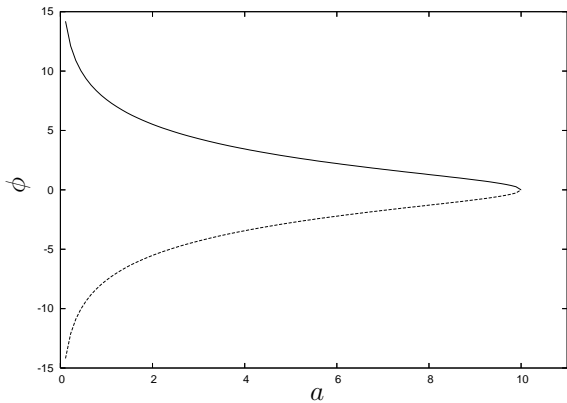
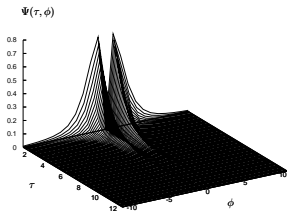
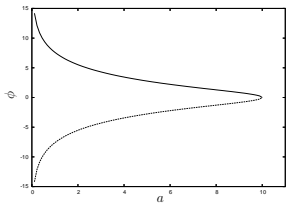


Figure: Classical trajectory in configuration space.

Normalizable solutions of the Wheeler–DeWitt equation **vanish** at the classical singularity



similar result for the corresponding **loop quantum cosmology**

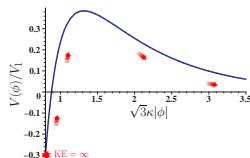
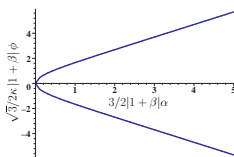
(Kamenshchik, C. K., Sandhöfer 2007)

Other type-III singularities

Consider a generalized Chaplygin gas:

$$p = -\frac{A}{\rho^\beta}$$

e.g. big-freeze singularity (type III): both H and \dot{H} blow up



$$\alpha = \ln(a/a_0), \quad \kappa^2 = 8\pi G$$

(Bouhmadi-López, C. K., Sandhöfer, Moniz 2009)

Important boundary condition: wave function go to zero in the classically forbidden region, $\Psi \xrightarrow{\alpha \rightarrow -\infty} 0$

Class of solutions then reads

$$\Psi_k(\alpha, \phi) \propto \sqrt{|\phi|} J_\nu(k|\phi|) \left[b_1 e^{i\frac{\sqrt{6}k}{\kappa}\alpha} + b_2 e^{-i\frac{\sqrt{6}k}{\kappa}\alpha} \right]$$

(with ν as a function of α)

Obeys DeWitt's boundary condition at the singularity:

$$\Psi_k(0, 0) = 0$$

(holds also for the other cases)

Type IV singularities

Very mild singularity: Only derivatives of the curvature invariants diverge, not the invariants themselves; energy density and pressure go to zero at the singularity

Type IV singularities are avoided only for particular solutions of the Wheeler–DeWitt equation

(Bouhmadi-López, C. K., Krämer 2014)

Little Rip

- ▶ Is an **abrupt event** rather than a singularity;
- ▶ can result from equation of state $p = -\rho - A\sqrt{\rho}$, realizable by a phantom scalar field;
- ▶ corresponds to a Big Rip sent to $t \rightarrow \infty$;
- ▶ structure in the universe would be ripped apart in a finite cosmic time;
- ▶ can be avoided in quantum cosmology, $\psi \xrightarrow{a \rightarrow \infty} 0$.

(Albarran *et al.* 2016)

Supersymmetric quantum cosmological billiards

$D = 11$ supergravity: near spacelike singularity, cosmological billiard description based on the Kac–Moody group E_{10} and discussion of Wheeler–DeWitt equation

- ▶ $\Psi \rightarrow 0$ near the singularity
- ▶ Ψ is generically complex and oscillating

(Kleinschmidt, Koehn, Nicolai 2009)

Criteria in loop quantum cosmology

- ▶ difference equation can lead to a deterministic evolution of wave packets across the singularity;
- ▶ occurrence of a **bounce** in the effective dynamics;
- ▶ boundedness of the expectation value of the operator corresponding to the inverse scale factor

(see other talks at this conference)

On the avoidance of black-hole singularities

Null dust shells

A quantum theory for a lightlike shell leading to a singularity-free situation can be rigorously constructed; this is a consequence of the unitary dynamics.

(P. Hájíček 2001; P. Hájíček and C.K. 2001)

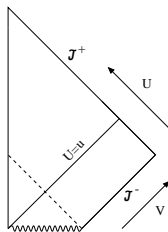


Figure: Penrose diagram for the outgoing shell in the classical theory. The shell is at $U = u$.

Initial state (wave packet) at $t = 0$:

$$\psi_{\kappa\lambda}(0, p) = \frac{(2\lambda)^{\kappa+1/2}}{\sqrt{(2\kappa)!}} p^{\kappa+1/2} e^{-\lambda p}$$

(λ and κ are free positive parameters)

Exact state for later times:

$$\Psi_{\kappa\lambda}(t, r) = \frac{1}{\sqrt{2\pi}} \frac{\kappa!(2\lambda)^{\kappa+1/2}}{\sqrt{(2\kappa)!}} \left[\frac{i}{(\lambda + it + ir)^{\kappa+1}} - \frac{i}{(\lambda + it - ir)^{\kappa+1}} \right]$$

fulfills

$$\lim_{r \rightarrow 0} \Psi_{\kappa\lambda}(t, r) = 0$$

No singularity! The ingoing quantum shell develops into a *superposition* of ingoing and outgoing shell if the region is reached where in the classical theory a singularity would form.

Summary

At least for certain models, and under some conditions, quantum geometrodynamics predicts the avoidance of classical singularities. It is not clear how generic this is.