

*Weyl's Laplacian eigenvalue asymptotics  
for the measurable Riemannian structure  
on the Sierpiński gasket*

**Naotaka Kajino (Universität Bielefeld)**

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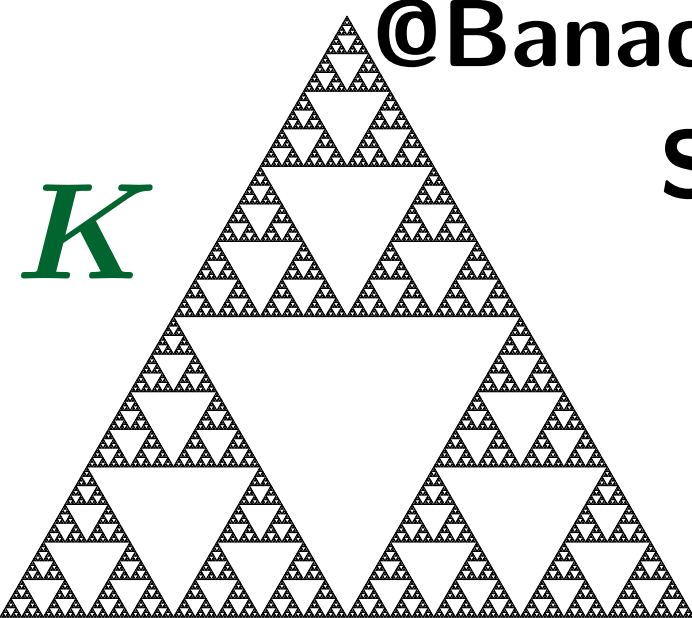
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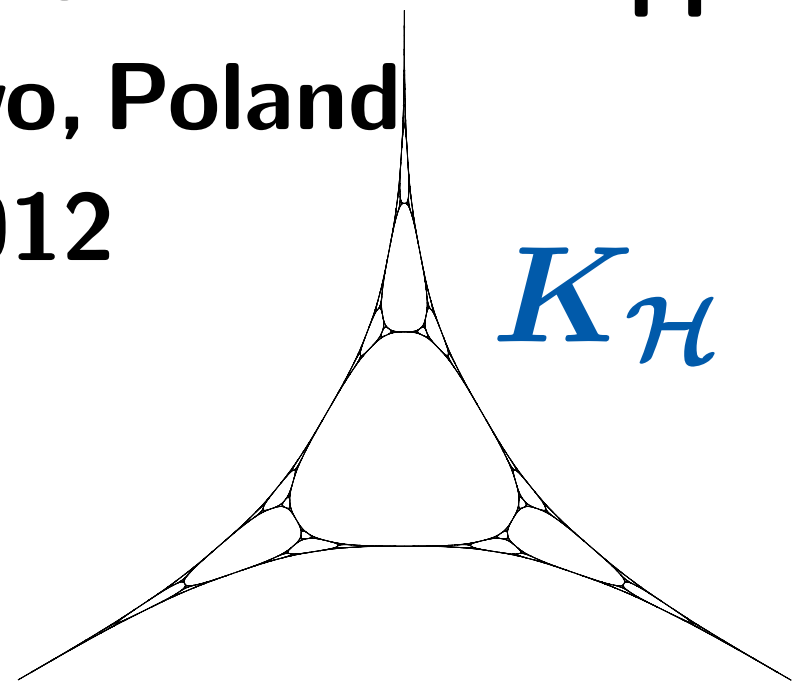
**September 13, 2012**

**16:30 – 16:50**

***K***



***K<sub>H</sub>***

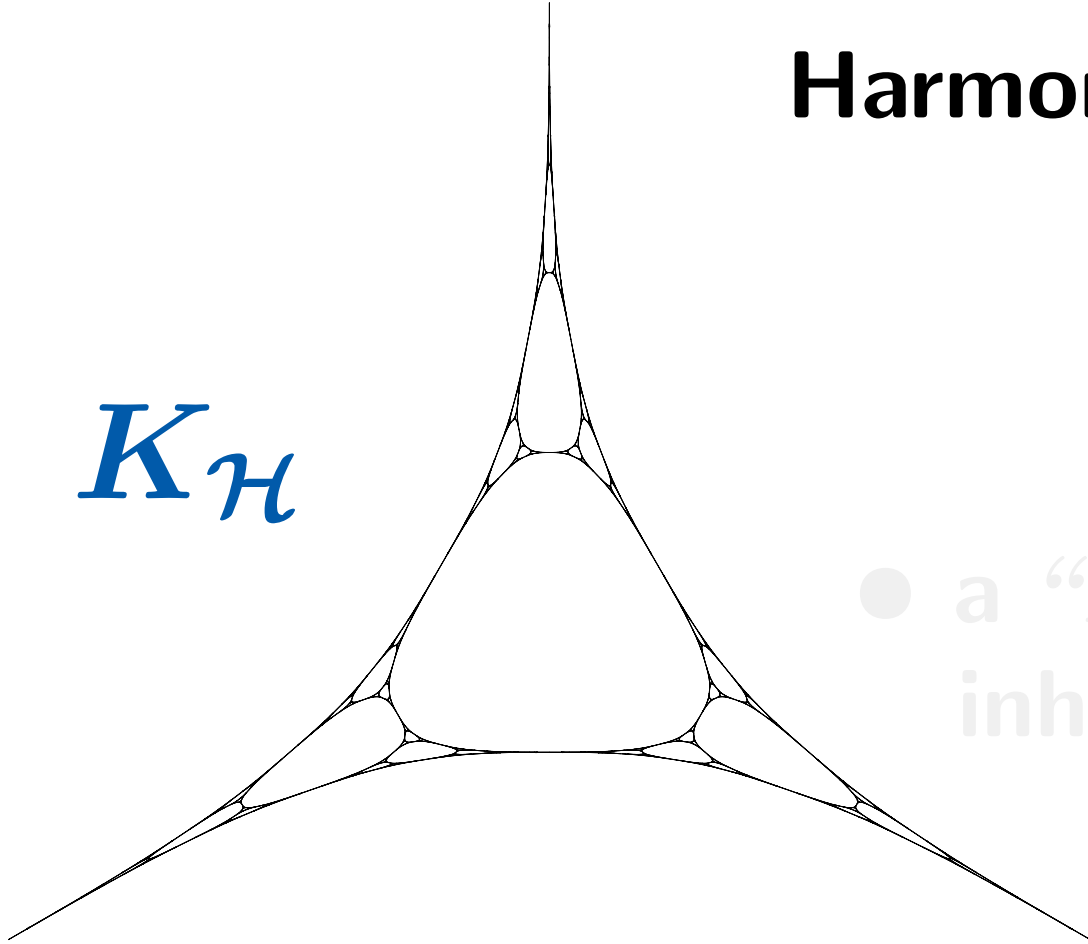


# 0 Introduction

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## Harmonic Sierpiński gasket $K_{\mathcal{H}}$

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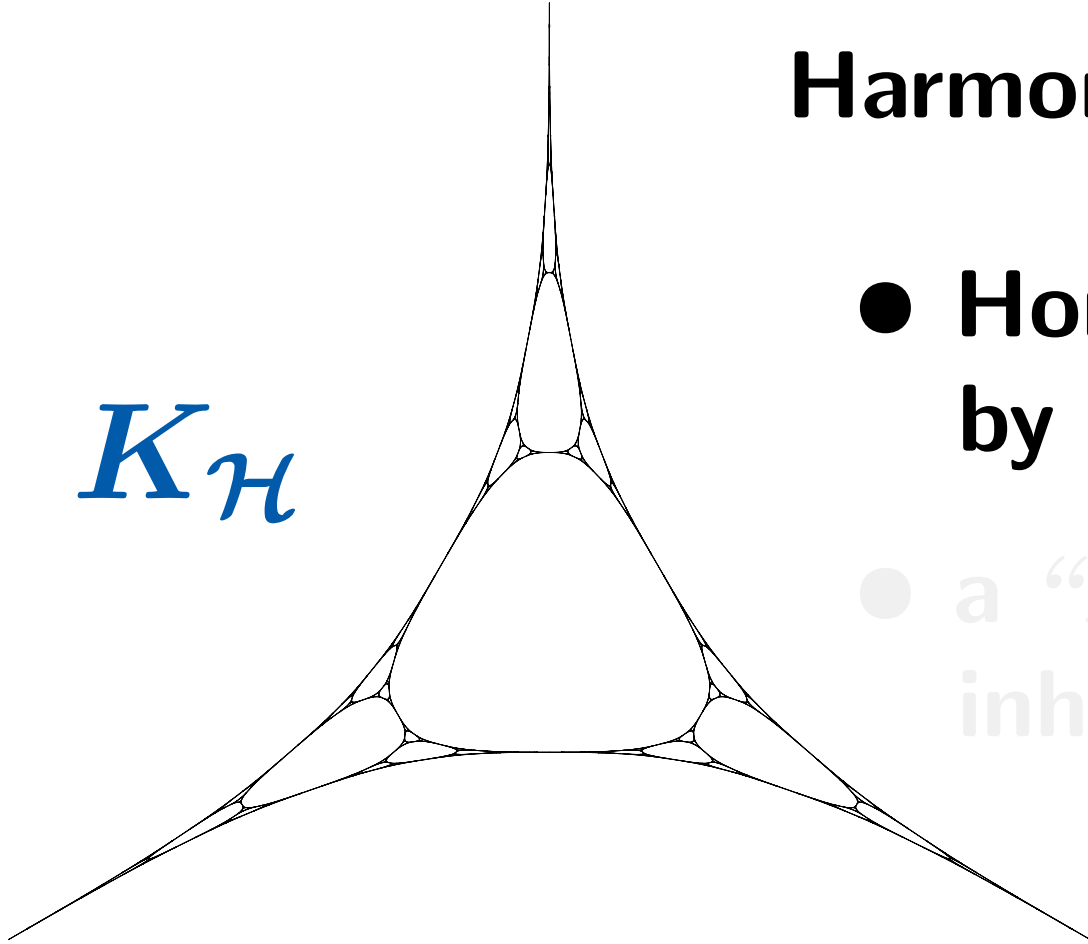
- a “*Riemannian structure*” inherited from  $\mathbb{R}^2$

- (Kigami '08) GAUSSIAN bound for  $p_t^{\mathcal{H}}(x, y)$
- (K. '12) geodesic metric  $\rho_{\mathcal{H}} = d_{\mathcal{H}}$  intrinsic metric
- Q. Asymp. of Laplacian eigenvalues  $\{\lambda_n^{\mathcal{H}}\}_{n \in \mathbb{N}}$ ?

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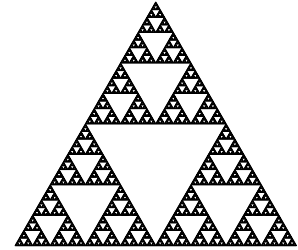
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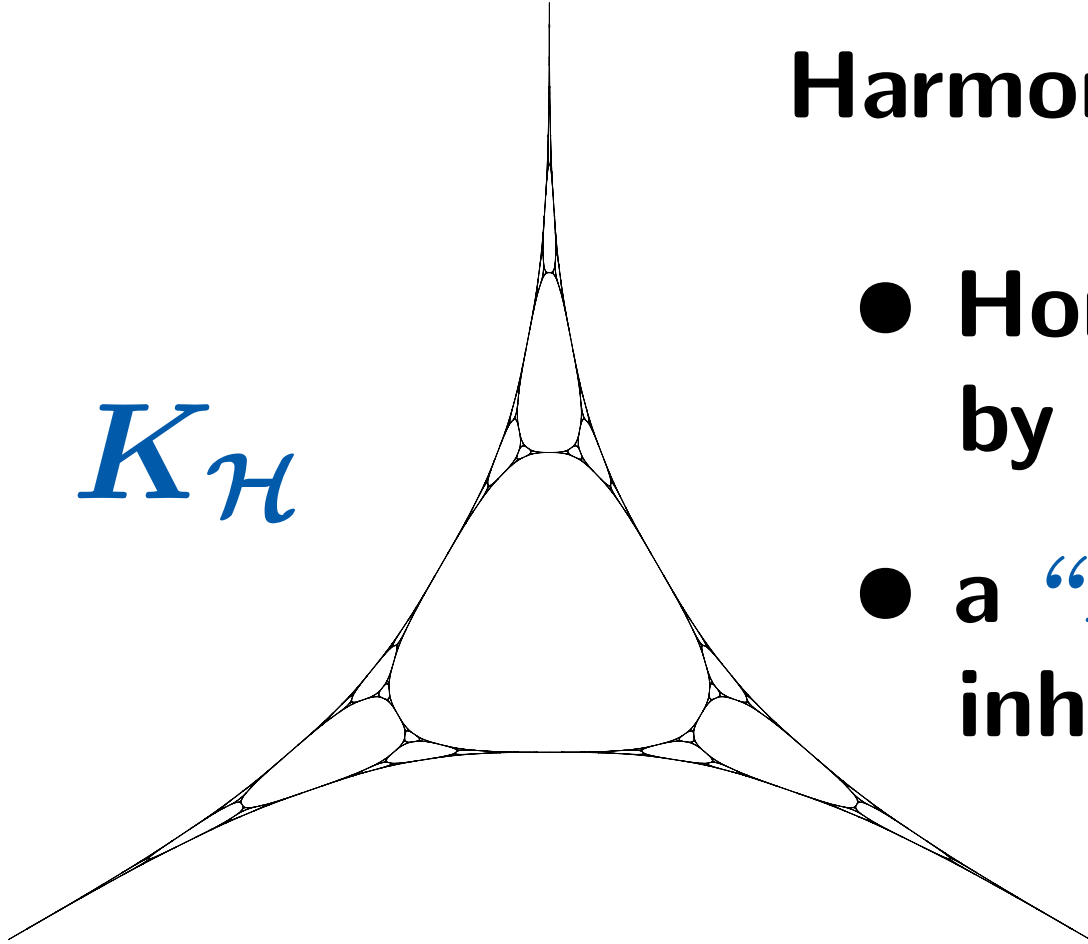
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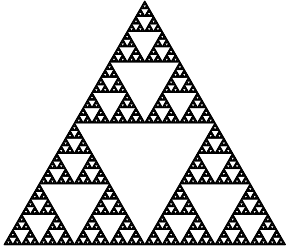
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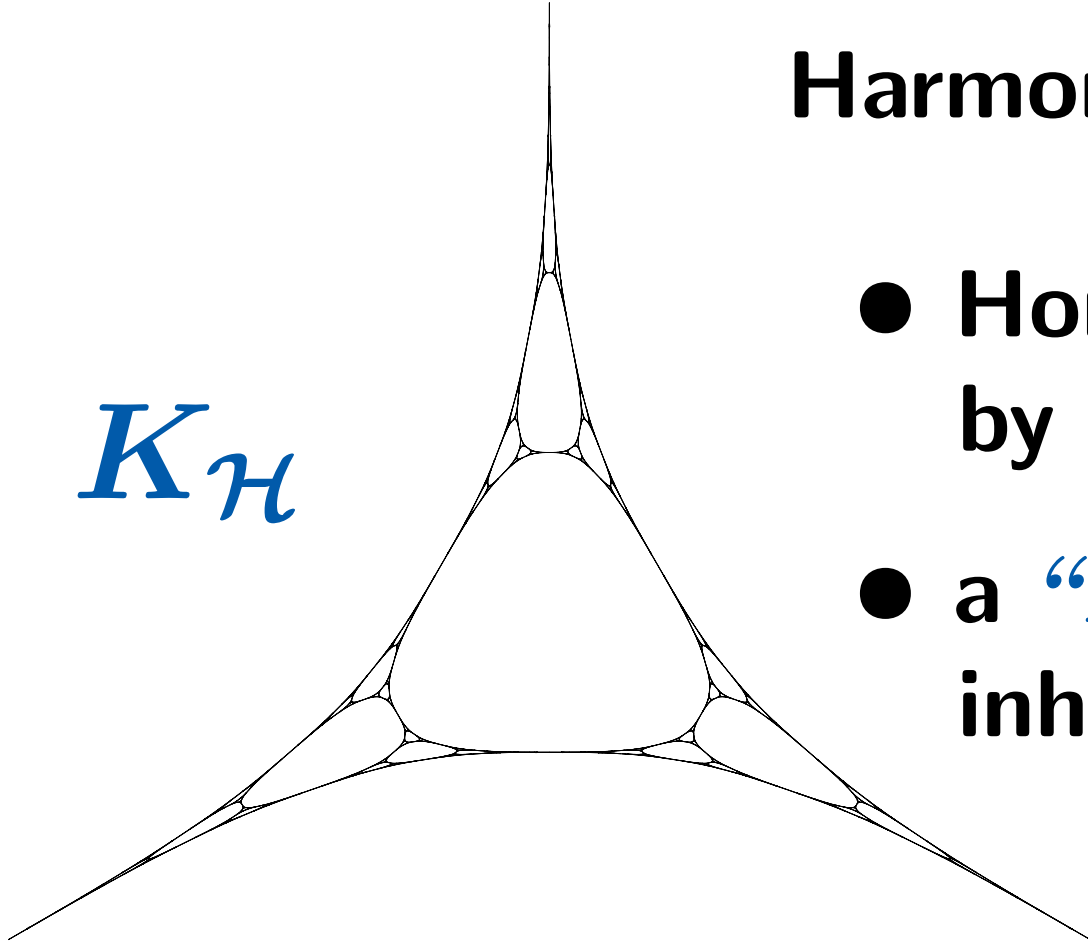
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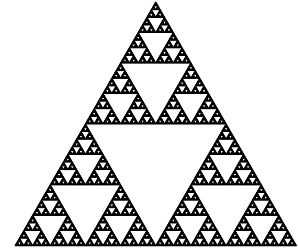
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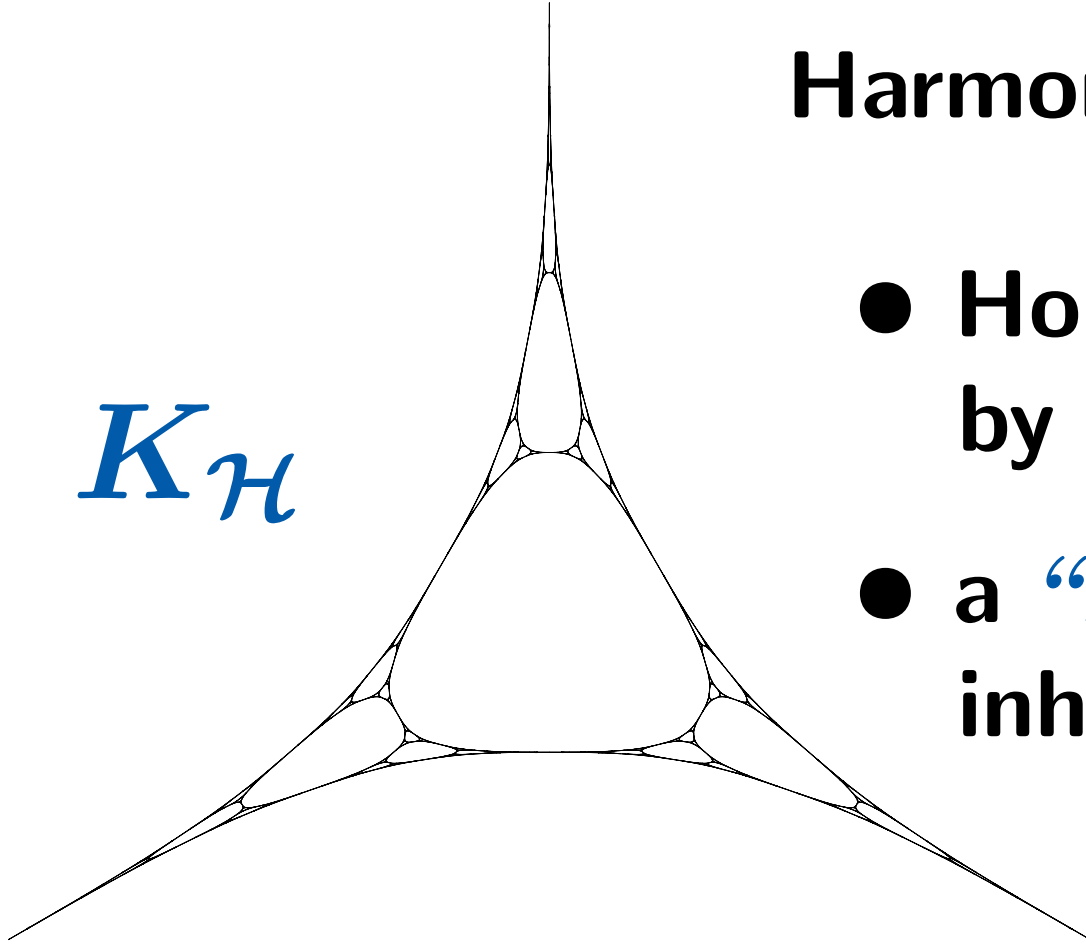
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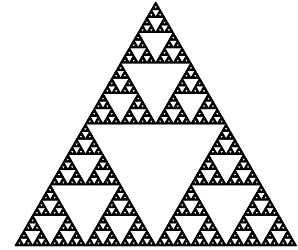
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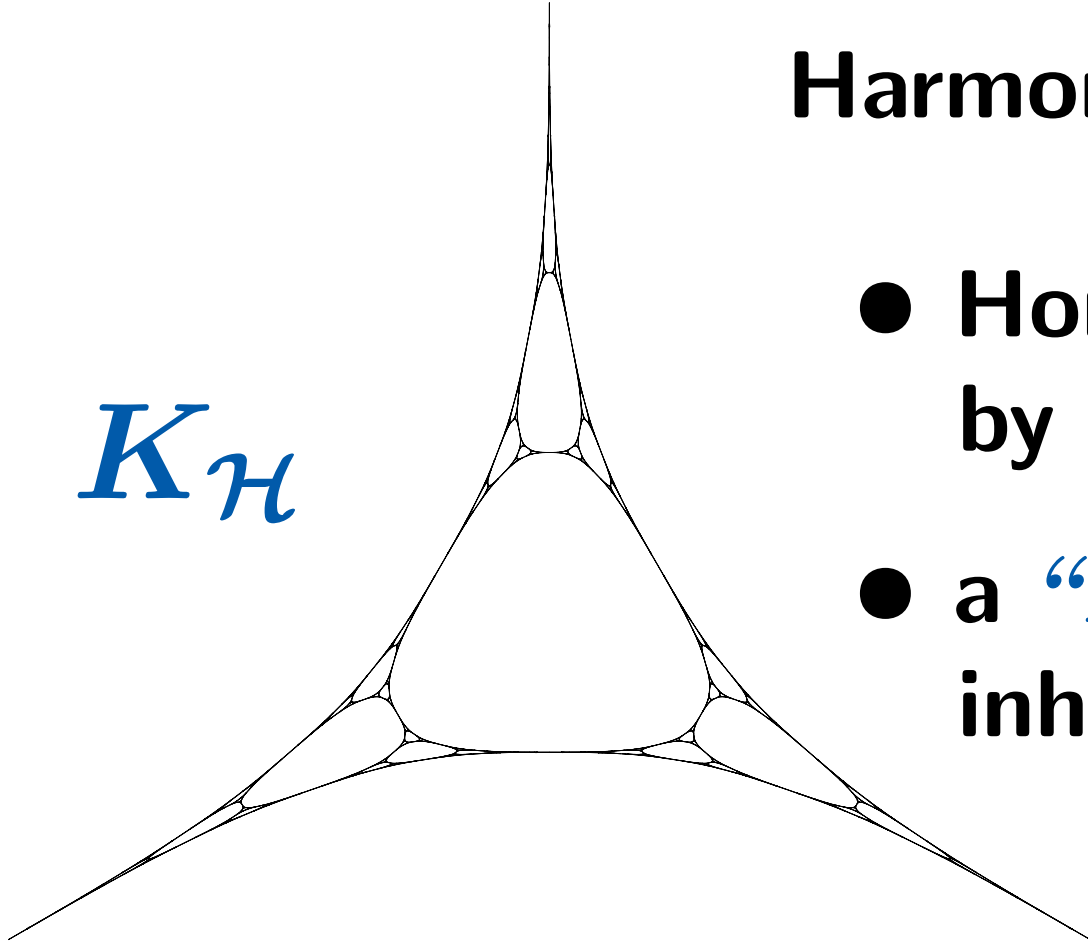
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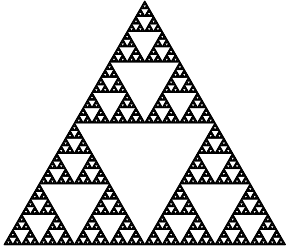
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*cf.* Weyl's Laplacian eigenvalue asymp. for  $U \subset \mathbb{R}^d$

▷  $\{\lambda_n^U\}_{n \in \mathbb{N}}$  : the eigenvalues of  $-\Delta_U^{\text{Dirichlet}}$

▷  $\mathcal{N}_U(\lambda) := \#\{n \in \mathbb{N} \mid \lambda_n^U \leq \lambda\}$ ,

$$\mathcal{Z}_U(t) := \sum_{n \in \mathbb{N}} e^{-t\lambda_n^U} = \int_U p_t^U(x, x) dx$$

Thm (Weyl 1912).  $\mathcal{N}_U(\lambda) \stackrel{\lambda \rightarrow \infty}{\sim} c_d \text{Vol}_d(U) \lambda^{d/2}$ .

Equivalently,  $\mathcal{Z}_U(t) \stackrel{t \downarrow 0}{\sim} (4\pi)^{-d/2} \text{Vol}_d(U) t^{-d/2}$ .

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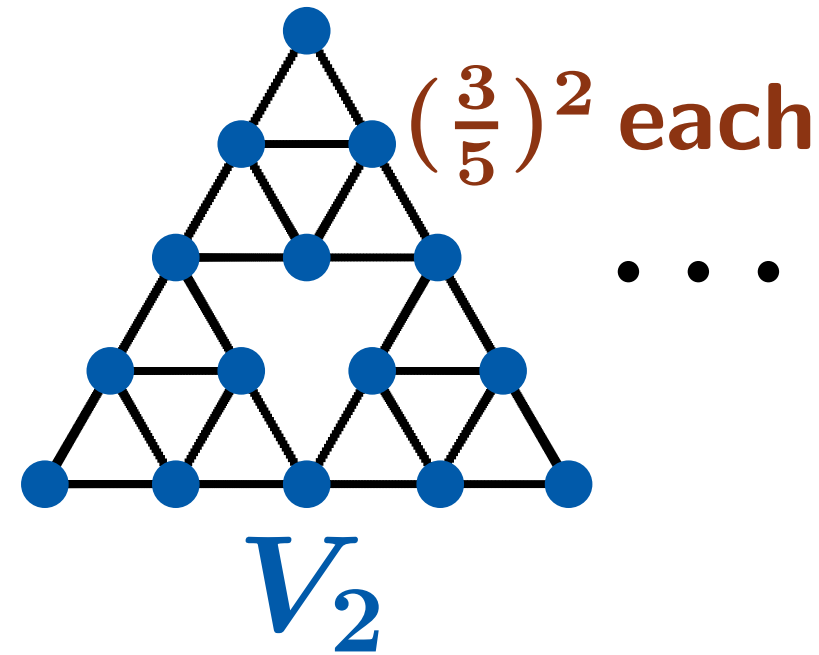
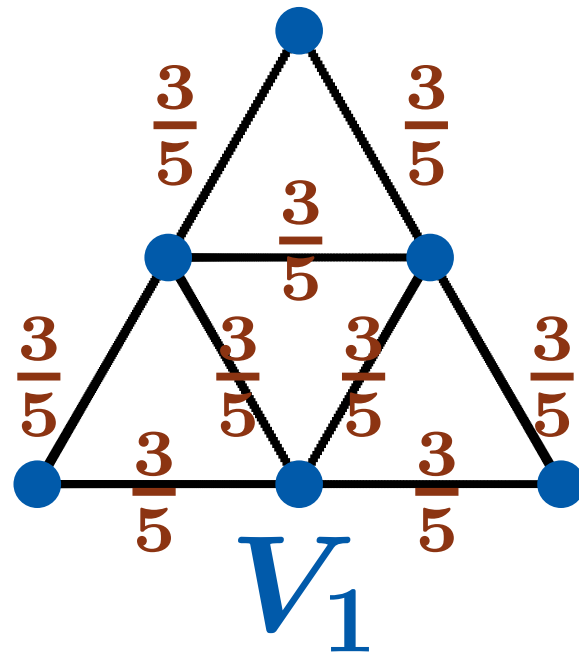
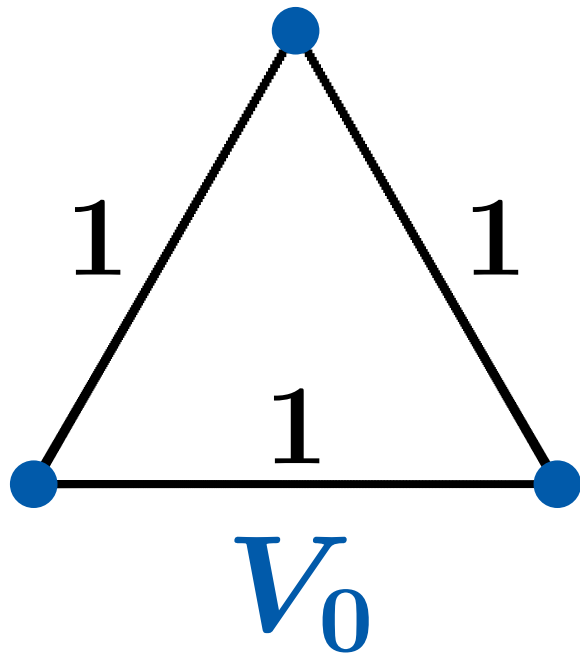
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# 1 Measurable Riemannian structure on the S.G.

▷  $(\mathcal{E}, \mathcal{F})$ : Standard Dirich. form on  $K$  ( $\mathcal{F} \subset C(K)$ )

$$“\mathcal{E}(u, v) = \int_{\mathbb{R}^d} \langle \nabla u, \nabla v \rangle dx”$$

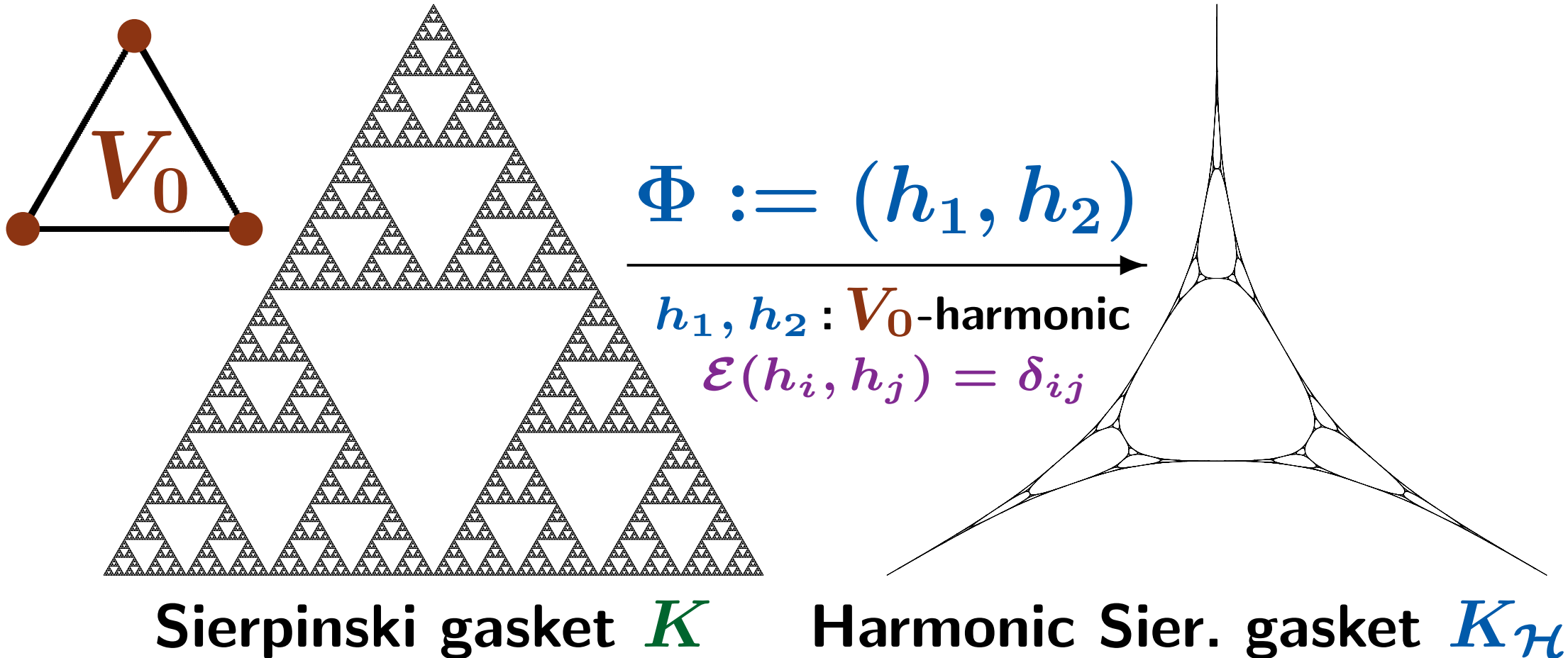


$$(\mathcal{E}_m, \mathbb{R}^{V_m}) \xrightarrow{m \rightarrow \infty} (\mathcal{E}, \mathcal{F})$$

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Kigami '93: Harmonic embedding  $\Phi : K \rightarrow K_{\mathcal{H}}$



Energy measures  $\mu_{\langle u \rangle}$ ,  $u \in \mathcal{F}$

$$\int_K f d\mu_{\langle u \rangle} = \mathcal{E}(fu, u) - \frac{1}{2} \mathcal{E}(f, u^2), \quad \forall f \in \mathcal{F}.$$

$$"d\mu_{\langle u \rangle} = |\nabla u|^2 dx"$$

▷  $\mu := \mu_{\langle h_1 \rangle} + \mu_{\langle h_2 \rangle}$  : Kusuoka measure  
(Energy of the "embedding"  $\Phi$ )

Thm (Kusuoka '89, Kigami '93).

$\exists Z : K \rightarrow \mathbb{R}^{2 \times 2}$  Borel,  $Z^2 = Z^* = Z$ ,  $\text{rank } Z = 1$ ,

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- $\mu \perp$  **self-similar (Bernoulli) meas.** (Hino-Nakahara '06)

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for  $u = v \circ \Phi$ ,  $v \in C^1(\mathbb{R}^2)$ , where  $\nabla u := (\nabla v) \circ \Phi$ .

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•  $Z\nabla u$ : “gradient vector field” of  $u \in C^1(K_{\mathcal{H}})$

•  $(\mathcal{E}, \mathcal{F})$ : associated Dirichlet form (“ $H^1$ -Sobolev sp.”)

▷  $\Delta_{\mu}$ : Laplacian for  $(K, \mu, \mathcal{E}, \mathcal{F})$ , that is,

$$\mathcal{E}(u, v) = - \int_K v \Delta_{\mu} u d\mu$$

▷  $p_t^{\mathcal{H}}(x, y)$ : fundamental solution for  $\frac{\partial u}{\partial t} = \Delta_{\mu} u$   
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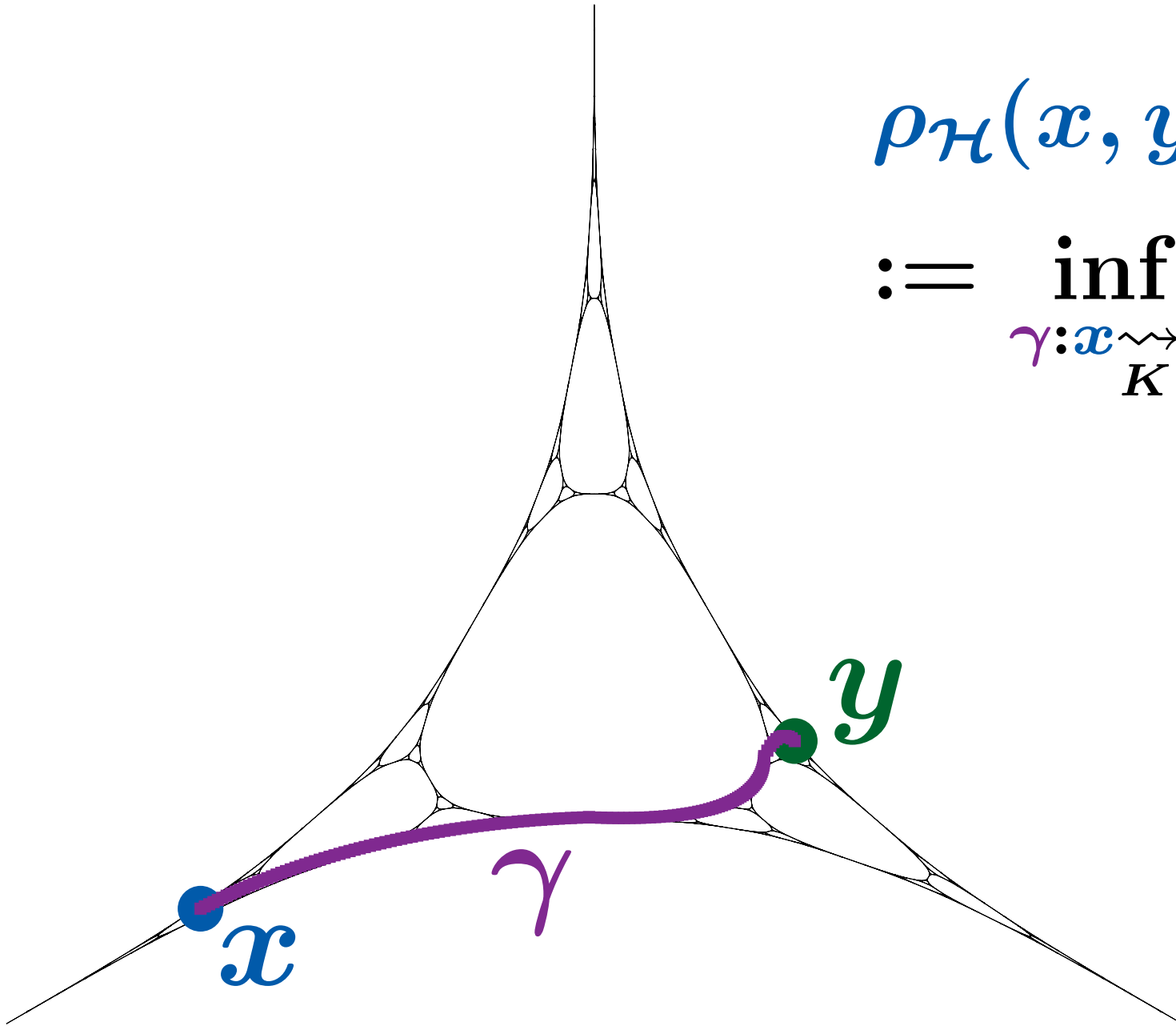
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$\rho_{\mathcal{H}}(x, y)$  : Geodesic metric in  $K_{\mathcal{H}}$   
 (Kigami '08)

$$\rho_{\mathcal{H}}(x, y)$$

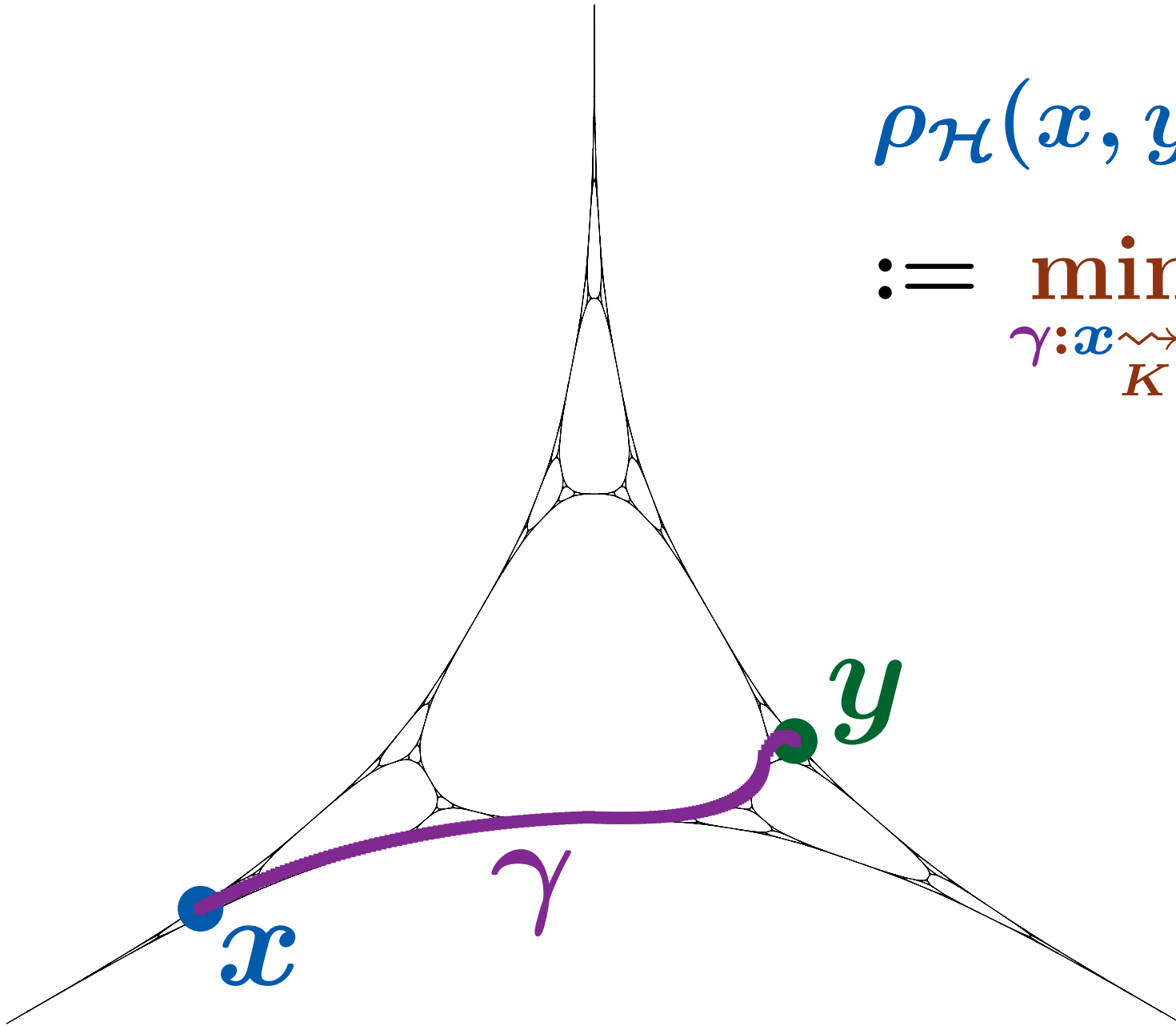
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# Gaussian heat kernel bound and Varadhan's asymp.

**Thm (Kigami '08).** For  $t > 0$ ,  $x, y \in K$ ,

$$p_t^{\mathcal{H}}(x, y) \asymp \frac{c_1}{\mu(B_{\sqrt{t}}(x, \rho_{\mathcal{H}}))} \exp\left(-\frac{\rho_{\mathcal{H}}(x, y)^2}{c_2 t}\right).$$

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$$\rho_{\mathcal{H}}(x, y) = \sup\{u(x) - u(y) \mid u \in \mathcal{F}, \mu_{\langle u \rangle} \leq \mu\}.$$

Cor (Thm + Ramírez '01). For any  $x, y \in K$ ,

$$(Vrd) \quad \lim_{t \downarrow 0} 4t \log p_t^{\mathcal{H}}(x, y) = -\rho_{\mathcal{H}}(x, y)^2.$$

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Cor (Thm + Ramírez '01). For any  $x, y \in K$ ,

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# Gaussian heat kernel bound and Varadhan's asymp.

**Thm (Kigami '08).** For  $t > 0$ ,  $x, y \in K$ ,

$$p_t^{\mathcal{H}}(x, y) \asymp \frac{c_1}{\mu(B_{\sqrt{t}}(x, \rho_{\mathcal{H}}))} \exp\left(-\frac{\rho_{\mathcal{H}}(x, y)^2}{c_2 t}\right).$$

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**Q.** How are  $\mu = \mu_{\langle h_1 \rangle} + \mu_{\langle h_2 \rangle}$  and  $\mathcal{H}_{\rho_{\mathcal{H}}}^d$  related?

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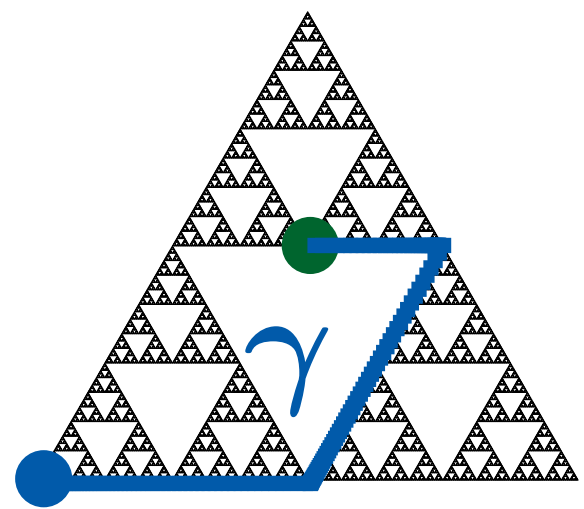
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**Proof.** To follow **Kigami-Lapidus'** method, we use **Kesten's renewal thm** for Markov chains [Ann. Prob. '74].

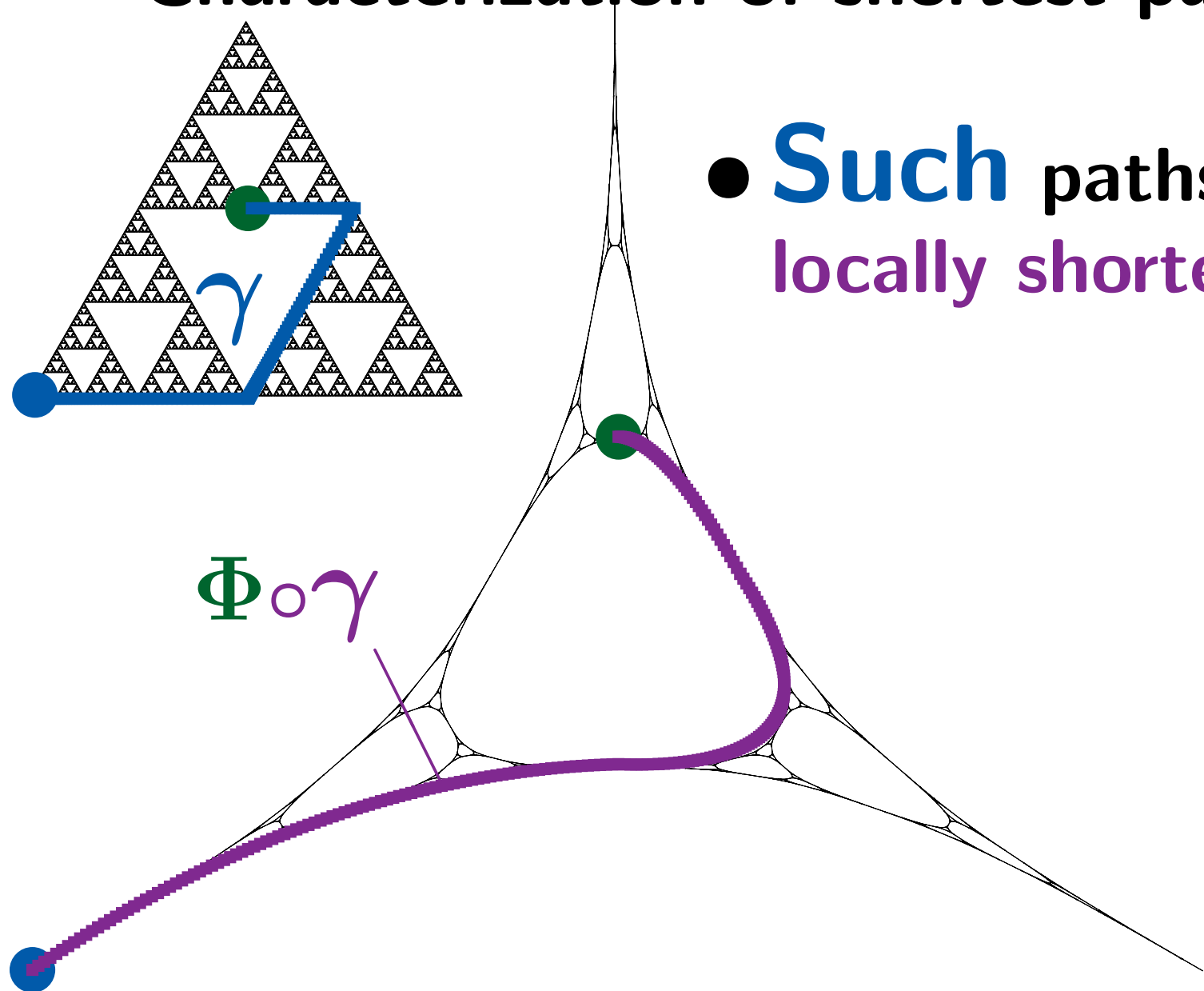
# 3 Connections to theories on metric meas. spaces

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Characterization of shortest paths in  $K_{\mathcal{H}}$   
(K. August 2012)

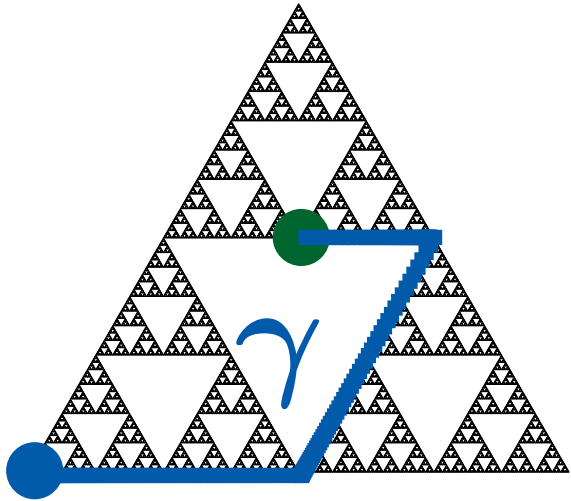


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**Cor (K.).** For  $(K, \rho_{\mathcal{H}}, \mu)$ ,  $k \in \mathbb{R}$ ,  $N \in [1, \infty]$ ,

- **CD**( $k, N$ ) (Sturm 06', Lott-Villani '07, '09) **fails**.
- **MCP**( $k, N$ ) (Sturm 06', Ohta '07) **fails**,  $N < \infty$ .

▷ **CD**( $k, N$ ), **MCP**( $k, N$ ): metric-measure paraphrase of

$$\text{Ric}_g \geq kg \quad \text{and} \quad \dim M \leq N.$$

# Rademacher's thm for “Riemannian structure”

**Thm (Koskela-Zhou '11, cf. Hino '10).** Let  $u \in \mathcal{F}$ .

**Then for  $\mu$ -a.e.  $x \in K$ ,**  $\exists^1 \tilde{\nabla} u(x) \in T_x K$  s.t.

$$\lim_{y \rightarrow x} \frac{u(y) - u(x) - \langle \tilde{\nabla} u(x), \Phi(y) - \Phi(x) \rangle}{\rho_{\mathcal{H}}(y, x)} = 0.$$

Moreover  $d\mu_{\langle u \rangle} = |\tilde{\nabla} u|^2 d\mu$ ,  $\mathcal{E}(u, u) = \int_K |\tilde{\nabla} u|^2 d\mu$ .

**Thm (Koskela-Zhou '11).** For  $u \in \mathcal{F}$ , for  $\mu$ -a.e.  $x \in K$ ,

$$|\tilde{\nabla} u(x)| = (\text{Lip}_{\rho_{\mathcal{H}}} u)(x) := \limsup_{y \rightarrow x} \frac{|u(y) - u(x)|}{\rho_{\mathcal{H}}(x, y)},$$

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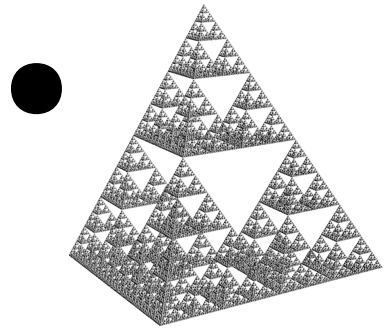
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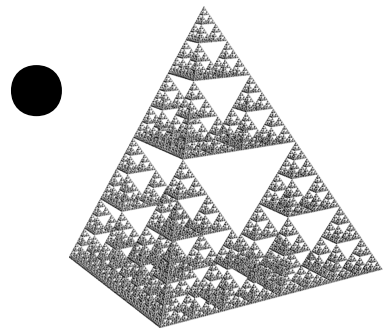
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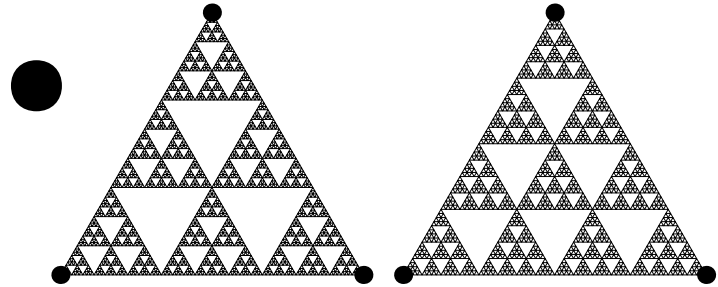


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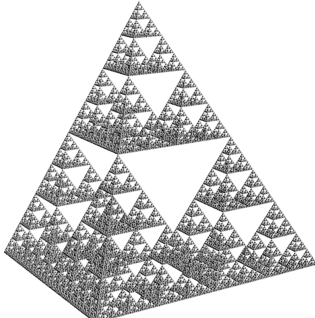


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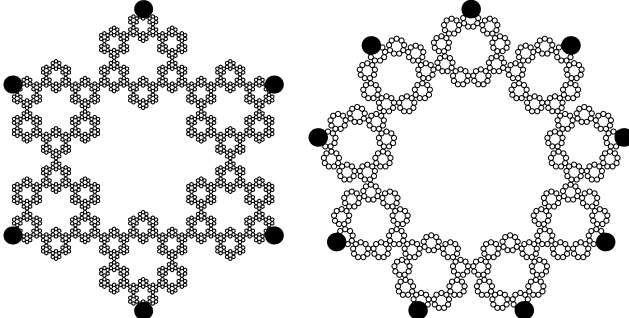


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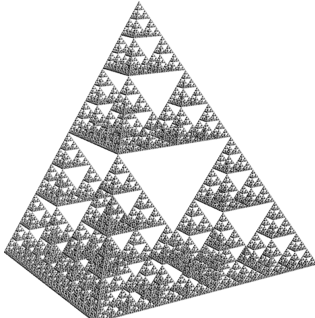
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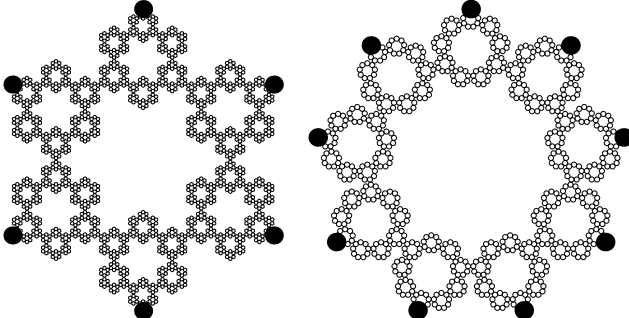
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-  Would love to do but **absolutely NO idea!**